



IFW

Dkt. #702-A-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary K. SCHWARTZ
U.S. Serial No.: 10/693,301
Filed : October 24, 2003
For : SCREENING, QUANTITATION AND IDENTIFICATION
OF ACTIVE INGREDIENTS IN NATURAL PRODUCTS

Law Offices of Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, NY 11357

May 21, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant hereby submits this Supplemental Information Disclosure Statement in accordance with their duty of disclosure under 37 C.F.R. §1.56. Applicant originally filed an Information Disclosure Statement for the above-identified application on December 11, 2003. Applicant would like to direct the Examiner's attention to the following references which are listed below as **Exhibit 1-4** and on Forms PTO/SB/08A and PTO/SB/08B (**Exhibit A**). Applicant's attorney's office may be contacted in the event that the Examiner would like a copy of all references filed with the December 11, 2003 Information Disclosure Statement. The following reference is attached:

1. Chinese PCT Published Patent No. PCT/CN/01/01521, International Publication No. WO 02/78722 A1 for LI, HONGFEN, Filed on January 11, 2001, Dated October 10, 2002. [**Exhibit 1**]

Applicants : Martin, et al.
U.S. Serial No.: 10/172,346
Filed : June 13, 2002
Page : 2

2. PCT International Search Report for Sloan-Kettering Institute for Cancer Research, Filed on October 24, 2003, Dated May 11, 2004. **[Exhibit 2]**
3. Database PGPB on WEST, BASu et al. Treatment for Inflammatory bowel disease (IBD) and related conditions. PGPUB-DN 20020025348; February 26, 2003, Sections 0047-0048 and also claim 2. **[Exhibit 3]**
4. Database PGPB on WEST, LU et al. Methods for inhibiting cancer growth, reducing infection and promoting general health with a fermented soy extract. PGPUB-DN 20020182274, December 5, 2002, entire document. **[Exhibit 4]**

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone him at the number provided below.

No fee is deemed necessary in connection with the filing of this Supplemental Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

I hereby certify that this paper is being deposited this date with the U.S. Postal Service with sufficient postage for first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Albert Wai-Kit Chan 5/21/04
Albert Wai-Kit Chan Date
Reg. No. 36,479

Respectfully submitted,
Albert Wai-Kit Chan
Albert Wai-Kit Chan
Registration No. 36,479
Attorney for Applicant
Law Offices of
Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, New York 11357
Tel: (718) 357-8836
Fax: (718) 357-8615
E-mail: kitchenlaw@aol.com



PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

(Use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known

Application Number	10/693,301
Filing Date	October 24, 2003
First Named Inventor	Gary K. Schwartz
Art Unit	
Examiner Name	
Attorney Docket Number	702-A-US

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

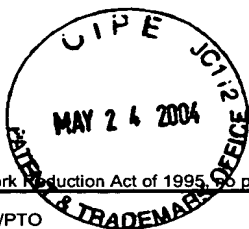
**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/693,301

Filing Date October 24, 2003

First Named Inventor Gary K. SCHWARTZ

Art Unit

Examiner Name

Attorney Docket Number 702-A-US

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	2	PCT International Search Report for Sloan-Kettering Institute for Cancer Research, Filed on October 25, 2003, Dated May 11, 2004.	
	3	Database PGPB on WEST, BASu et al. Treatment for Inflammatory bowel disease (IBD) and related conditions. PG PUB-DN 20020025348; February 26, 2003, Sections 0047-0048 and also claim 2.	
	4	Database PGPB on WEST, LU et al. Methods for inhibiting cancer growth, reducing infection and promoting general health with a fermented soy extract. PG PUB-DN 20020182274, December 5, 2002, entire document.	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.